

Residential Workshop on Urban Resilience and Making Cities Resilient 2030 (MCR2030)

5 - 8 December 2022

Gandhinagar, India



SAARC
Disaster Management Centre (IU)



with funding support from:  Ministry of the Interior and Safety  Incheon Metropolitan City

Assessing resilience gaps and needs using Disaster Resilience Scorecard for Cities

Residential Workshop on Urban Resilience and Making Cities Resilient 2030

5-8 December 2022



UNDRR

UN Office for Disaster Risk Reduction

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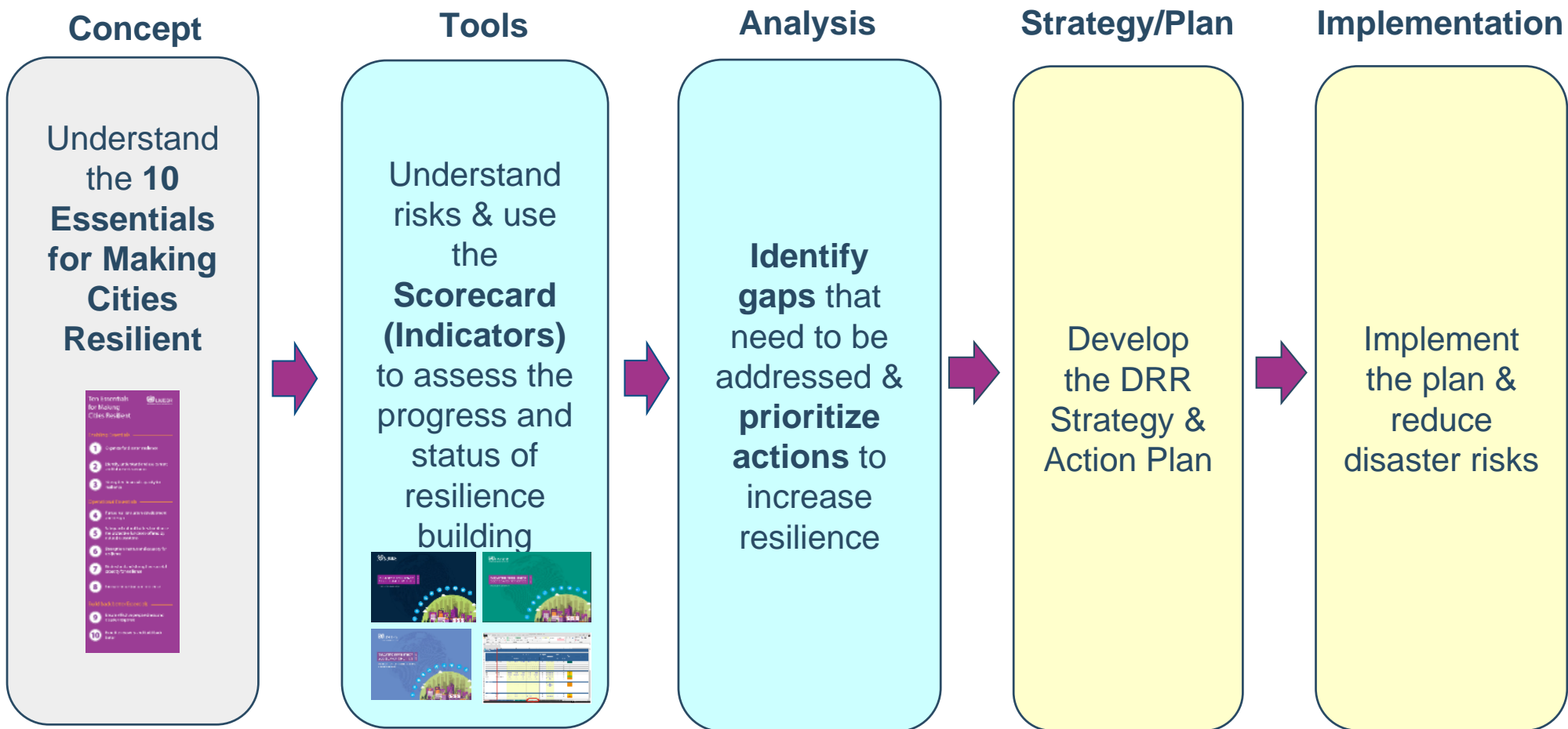
Ministry of
the Interior and Safety



Incheon
Metropolitan City

SEDAI FRAMEWORK
FOR DISASTER RISK REDUCTION 2015-2030

Overall approach



Paving ways towards disaster risk reduction and disaster resilience building at the local level
– supporting MCR2030 Resilience Roadmap

The Disaster Resilience Scorecard for Cities (Scorecard)



A tool to support disaster risk reduction and resilience planning - to understand the cities' status on resilience building (baseline snapshot), to identify priority areas and actions for DRR and to create dialogue and help to engage multi-stakeholders in the process

<https://mcr2030.undrr.org/disaster-resilience-scorecard-cities>

- ❖ A set of **assessments** that allow cities to assess their disaster resilience, structured around the 10 Essentials for Making Cities Resilient
- ❖ Developed by IBM, AECOM, and UNDRR with the support from the European Commission and USAID, and launched in May 2017 at the Global Platform for DRR in Cancun.
- ❖ Local government authorities should take the lead in the assessments. A multi-stakeholder dialogue and approach between key city stakeholders will be necessary to complete the Scorecard and is essential in pushing the cities towards higher resilience.

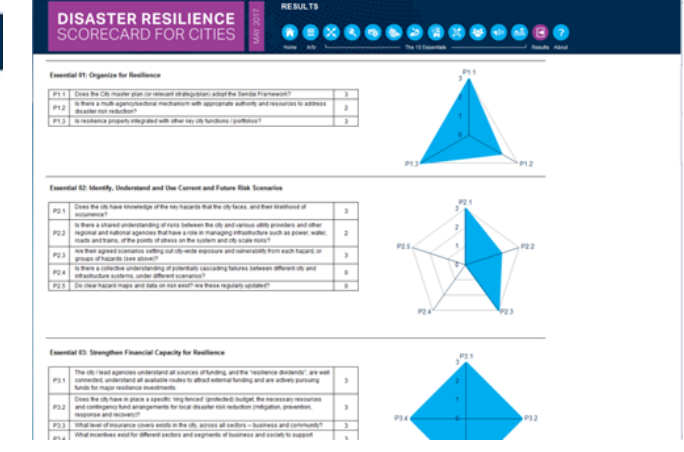
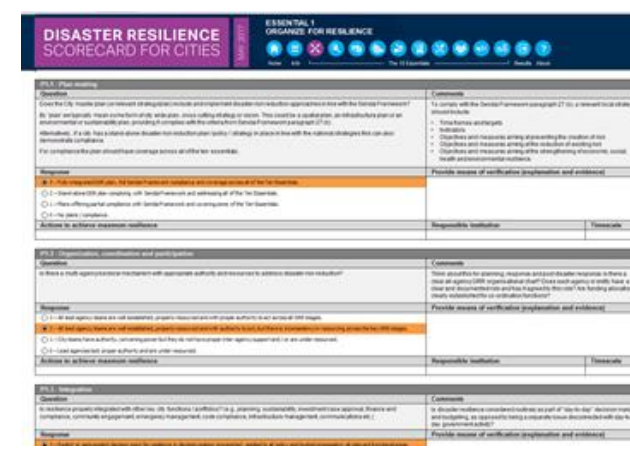
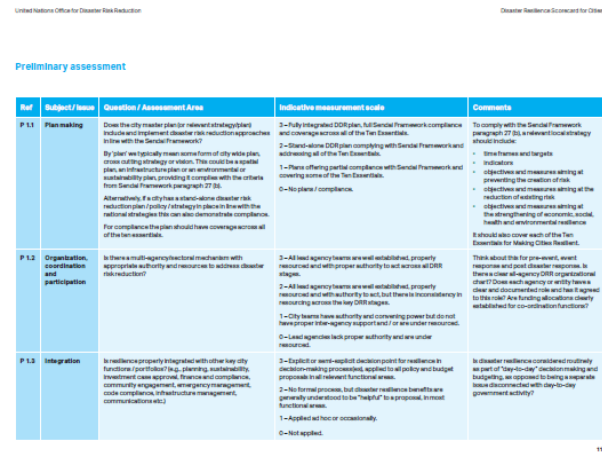
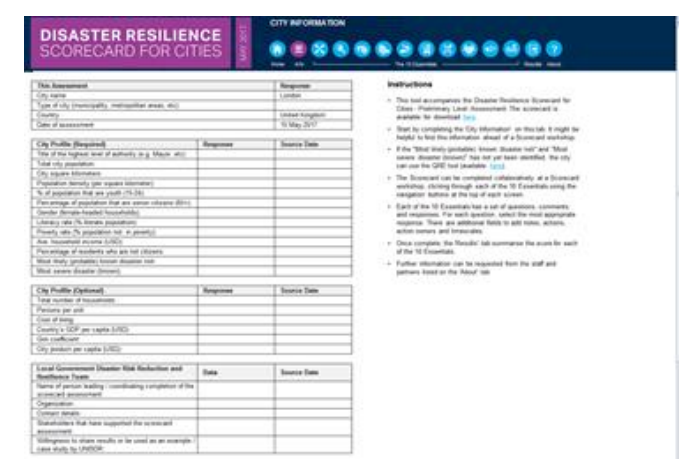
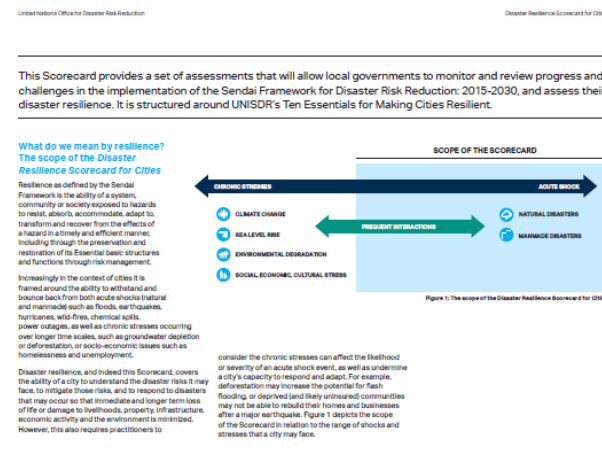
Disaster Resilience Scorecard for Cities – Preliminary Assessment



	Preliminary Assessment
Launched	2017
# of indicators (criteria)	47
Scoring	0-3
Methodology	Use in a one or 2-day city multi-stakeholder workshop
Use case	High-level assessment helping to open up multi-stakeholder dialogue on disaster resilience (recommend for all questions to be scored)
More information	https://mcr2030.undrr.org/disaster-resilience-scorecard-cities

PDF Version

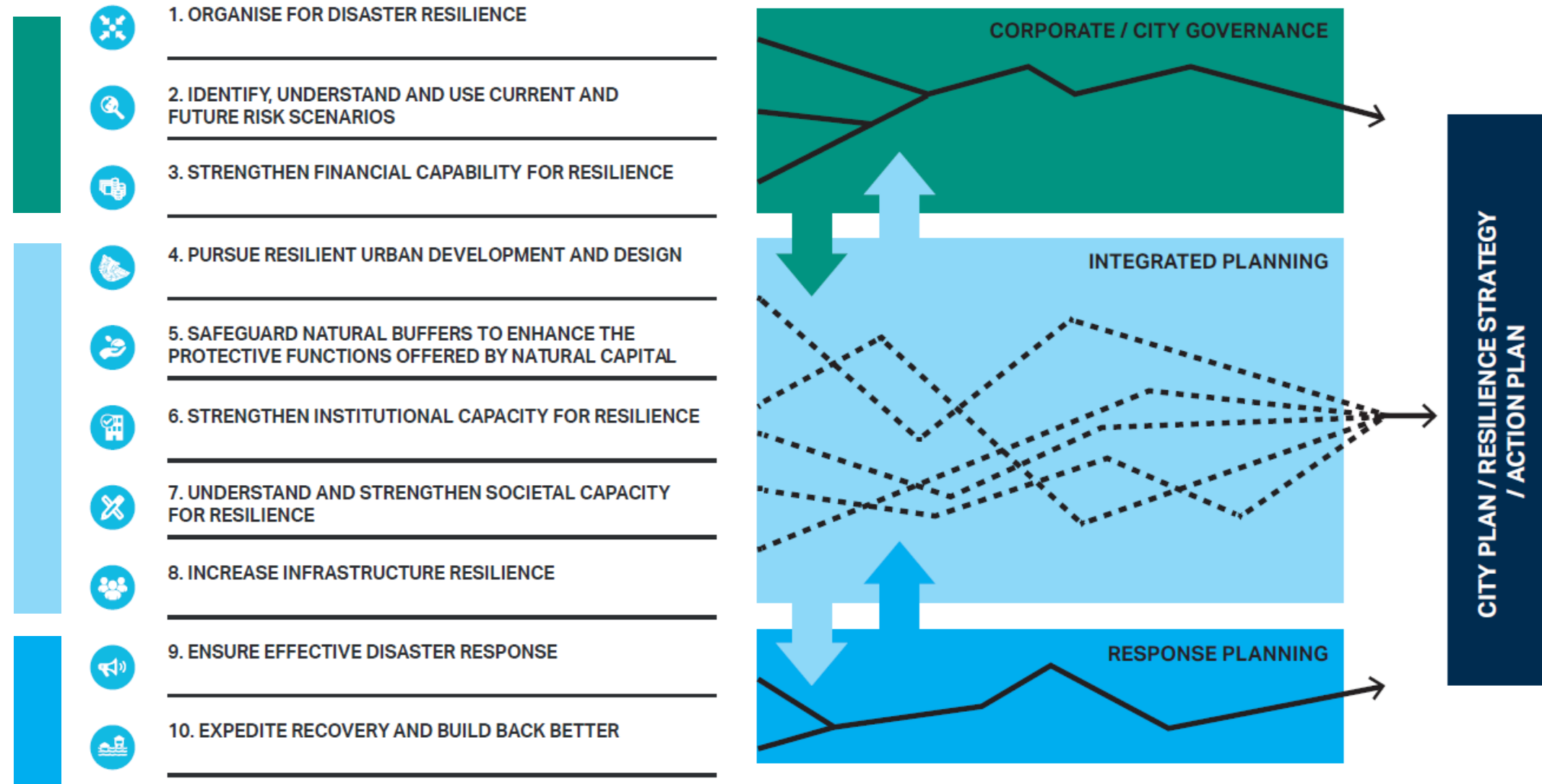
Excel Tool



Printable with detailed explanation and references.

Interactive showing analysis results

The Ten Essentials for Making Cities Resilient – the Guiding Principles



Learn more about the 10 Essentials at:
<https://mcr2030.undrr.org/ten-essentials-making-cities-resilient>

Sample Indicator and Scoring

Essential 1. Plan making

Does the city master plan (or relevant strategy/plan) include and implement disaster risk reduction approaches in line with Sendai Framework?

- 3** - Fully integrated DRR plan, full Sendai Framework compliance and coverage across all the Ten Essentials.
- 2** - Stand-alone DRR plan complying with Sendai Framework and addressing all of the Ten Essentials.
- 1** - Plans offering partial compliance with Sendai Framework and covering some of the Ten Essentials.
- 0** - No plans.

Sample Indicator and Scoring

Essential 1: Organization, coordination and participation

Is there a multi-agency/sectoral mechanism with appropriate authority and resources to address disaster risk reduction?

- 3** – All lead agency teams are well established, properly resourced and with proper authority to act across all DRR stages.
- 2** – All lead agency teams are well established, properly resources and with authority to act, but there is inconsistency in resourcing across the key DRR stages.
- 1** – City teams have authority and convening power but do not have proper inter-agency support and/or are under resourced
- 0** – Lead agencies lack proper authority and are under resourced

DISASTER RESILIENCE SCORECARD FOR CITIES

MAY 2017

PRELIMINARY LEVEL ASSESSMENT



Start – go to information page

Go to Result Page

Reset all data

Go to About page

Go to Essential 1

Excel Tool

Using the Scorecard Excel Tool

File Home Insert Draw Page Layout Formulas Data Review View Help Tell me what you want to do

A1

DISASTER RESILIENCE SCORECARD FOR CITIES MAY 2017

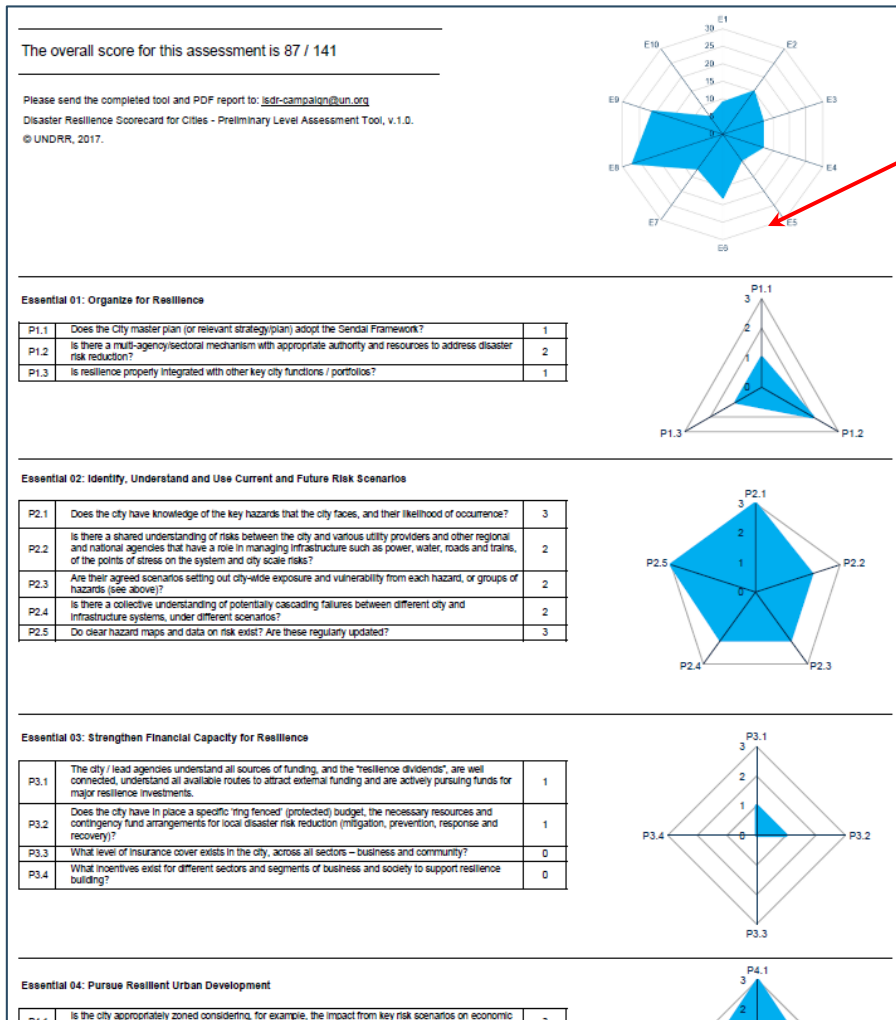
ESSENTIAL 1 ORGANIZE FOR RESILIENCE

Home Info The 10 Essentials Results About

P1.1 - Plan making		
Question	Comments	
<p>Does the city master plan (or relevant strategy/plan) include and implement disaster risk reduction approaches in line with the Sendai Framework?</p> <p>By 'plan' we typically mean some form of city wide plan, cross cutting strategy or vision. This could be a spatial plan, an infrastructure plan or an environmental or sustainability plan, providing it complies with the criteria from Sendai Framework paragraph 27 (b).</p> <p>Alternatively, if a city has a stand-alone disaster risk reduction plan / policy / strategy in place in line with the national strategies this can also demonstrate compliance.</p> <p>For compliance the plan should have coverage across all of the ten essentials.</p>	<p>To comply with the Sendai Framework paragraph 27 (b), a relevant local strategy should include:</p> <ul style="list-style-type: none"> • Time frames and targets • Indicators • Objectives and measures aiming at preventing the creation of risk • Objectives and measures aiming at the reduction of existing risk • Objectives and measures aiming at the strengthening of economic, social, health and environmental resilience 	
<p>Response</p> <p><input type="radio"/> 3 – Fully integrated DRR plan, full Sendai Framework compliance and coverage across all of the Ten Essentials.</p> <p><input type="radio"/> 2 – Stand-alone DRR plan complying with Sendai Framework and addressing all of the Ten Essentials.</p> <p><input type="radio"/> 1 – Plans offering partial compliance with Sendai Framework and covering some of the Ten Essentials.</p> <p><input type="radio"/> 0 – No plans / compliance.</p>	<p>Provide means of verification (explanation and evidence)</p>	
<p>Actions to achieve maximum resilience</p>	<p>Responsible institution</p>	<p>Timescale</p>

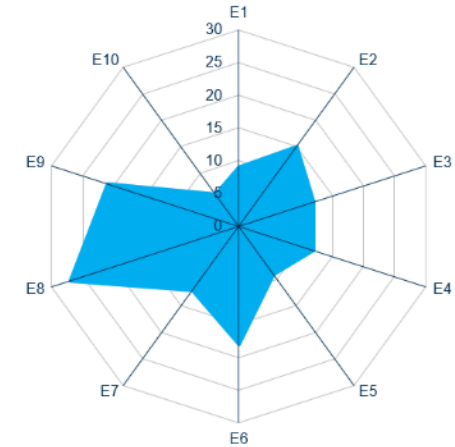
Scorecard is designed to be used flexibly in the way best suits the need of the city. Cities can decide on their own 'evidence' to support the assessment. Document them in the 'Provide means of verification (explanation and evidence)'.

Reports



The overall score for this assessment is 141 / 141

Please send the completed tool and PDF report to: isdr-campaign@un.org
Disaster Resilience Scorecard for Cities - Preliminary Level Assessment Tool, v.1.0.
© UNDRR, 2017.



P1.1 - Plan making		
Question	Comments	
Does the city master plan (or relevant strategy/plan) include and implement disaster risk reduction approaches in line with the Sendai Framework? By 'plan' we typically mean some form of city wide plan, cross cutting strategy or vision. This could be a spatial plan, an infrastructure plan or an environmental or sustainability plan, providing it complies with the criteria from Sendai Framework paragraph 27 (b). Alternatively, if a city has a stand-alone disaster risk reduction plan / policy / strategy in place in line with the national strategies this can also demonstrate compliance. For compliance the plan should have coverage across all of the ten essentials.	To comply with the Sendai Framework paragraph 27 (b), a relevant local strategy should include: • Time frames and targets • Indicators • Objectives and measures aiming at preventing the creation of risk • Objectives and measures aiming at the reduction of existing risk • Objectives and measures aiming at the strengthening of economic, social, health and environmental resilience	
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Actions to achieve maximum resilience	Responsible institution	Timescale
P1.2 - Organization, coordination and participation		
Question	Comments	
Is there a multi-agency/sectoral mechanism with appropriate authority and resources to address disaster risk reduction?	Think about this for planning, response and post disaster response. Is there a clear all-agency DRR organisational chart? Does each agency or entity have a clear and documented role and has it agreed to this role? Are funding allocations clearly established for co-ordination functions?	
Response	Provide means of verification (explanation and evidence)	
<input type="radio"/> 3 - All lead agency teams are well established, properly resourced and with proper authority to act across all DRR stages. <input checked="" type="radio"/> 2 - All lead agency teams are well established, properly resourced and with authority to act, but there is inconsistency in resourcing across the key DRR stages. <input type="radio"/> 1 - City teams have authority and convening power but do not have proper inter-agency support and / or are under resourced. <input type="radio"/> 0 - Lead agencies lack proper authority and are under resourced.		
Actions to achieve maximum resilience	Responsible institution	Timescale
P1.3 - Integration		
Question	Comments	
Is resilience properly integrated with other key city functions / portfolios? (e.g., planning, sustainability, investment case approval, finance and compliance, community engagement, emergency management, code compliance, infrastructure management, communications etc.)	Is disaster resilience considered routinely as part of "day-to-day" decision making and budgeting, as opposed to being a separate issue disconnected with day-to-day	
Response	Provide means of verification (explanation and evidence)	
<input type="radio"/> 3 - Explicit or semi-explicit decision point for resilience in decision-making processes, applied to all policy and budget proposals in all relevant functional areas. <input type="radio"/> 2 - No formal process, but disaster resilience benefits are generally understood to be "helpful" to a proposal, in most functional areas. <input checked="" type="radio"/> 1 - Applied ad hoc or occasionally. <input type="radio"/> 0 - Not applied.		
Actions to achieve maximum resilience	Responsible institution	Timescale

Benefits

The benefits of completing the scorecard extend far beyond reporting – **the conversations** the process can generate may even be more important than the score.



Fostering growth
mind-set!

If completed in a collaborative way, cities can:

- Establish a **baseline measurement** of their current level of disaster resilience
- Increase **awareness and understanding** of resilience challenges
- Enable **dialogue between key city stakeholders** who may otherwise not collaborate regularly
- Enable **discussion of priorities for investment and action**, based on a shared understanding of the current situation
- Enable the **development of a city resilience strategy** / action plan
- Ultimately **lead to actions** and implementable projects that will deliver increased resilience for the city over time.

Group Work: Applying the Scorecard

Group work

- Break out into 8 groups: 1 group per country

Day 1 & 2

- Apply preliminary scorecard (E1-E10) to country's capital city using Excel template
- Convert identified gaps into objectives and actions for the DRR action plan (Word template, columns 1 & 2)

Day 2 & 3

- Develop DRR Action Plan (using Word template)

Using the Scorecard Excel Tool

File Home Insert Draw Page Layout Formulas Data Review View Help Tell me what you want to do

A1

DISASTER RESILIENCE SCORECARD FOR CITIES MAY 2017

ESSENTIAL 1 ORGANIZE FOR RESILIENCE

Home Info The 10 Essentials Results About

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<p>Actions to achieve maximum resilience</p>	<p>Responsible institution</p>	<p>Timescale</p>

Scorecard is designed to be used flexibly in the way best suits the need of the city. Cities can decide on their own 'evidence' to support the assessment. Document them in the 'Provide means of verification (explanation and evidence)'.

Example: Template for DRR Action Plan

Action Plan for Calendar Year _____ – _____	
City/Local Government Name	
Country	
Focal Point Details	Name: Contact Info:
Title of existing local disaster risk reduction strategy	
Timeframe of existing strategy or plan	

Strategic Area/Goal 1: <i>e.g. Strengthen disaster risk governance</i>						
#	OBJECTIVES	PLANNED ACTION(s)	INDICATORS (Specific, measurable, attainable, realistic)	TIMEFRAME (Timebound)	RESPONSIBILITY (+Support) AGENCIES (Assignable)	Budget

Analyzing Scorecard Results

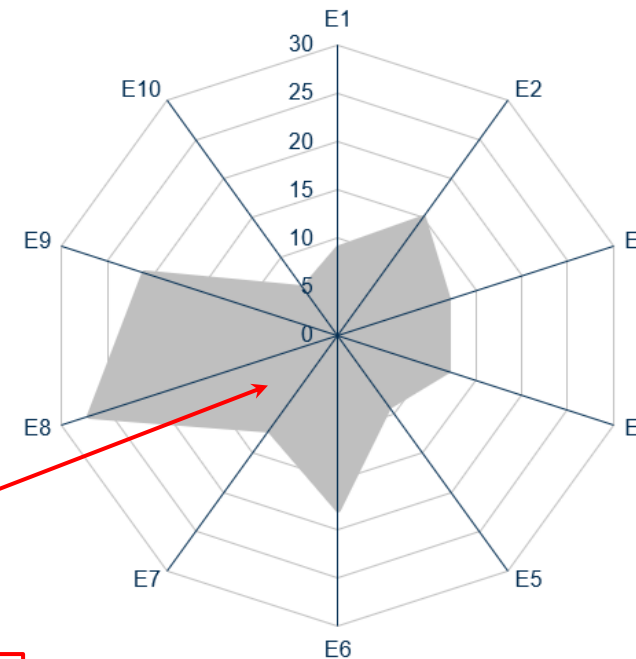
Analyzing Results – Overall Score



Go to
'Results' Tab

The overall score for this assessment is 0 / 141

Please send the completed tool and PDF report to: isdr-campaign@un.org
Disaster Resilience Scorecard for Cities - Preliminary Level Assessment Tool, v.1.0.
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Maximum possible score
(reflected in the grey area* in the web diagram)

*Each essentials has different numbers of indicators, therefore the maximum possible scores for each essential will be different. For example, Essential 1 has 3 indicators. The maximum score for each indicator is 3. So, the maximum possible score for Essential 1 is 9. Similarly, as Essential 10 has 2 indicators, the maximum possible score for Essential 10 is 6.

Analyzing Results – Overall Score

Example 1:

The overall score for this assessment is 141 / 141

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Disaster Resilience Scorecard for Cities - Preliminary Level Assessment Tool, v.1.0.

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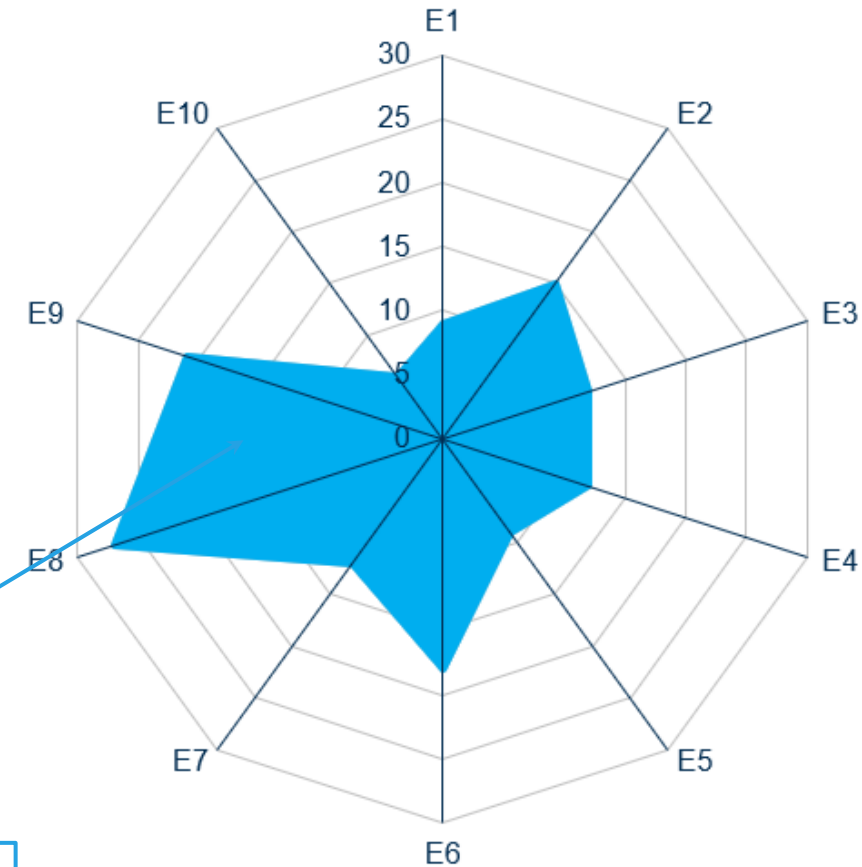
Save PDF
Report



Export
Input Data

Actual score

(reflected in the blue area in the web diagram)



How is this city doing?

Analyzing Results – Overall Score

Example 2:

The overall score for this assessment is 83 / 141

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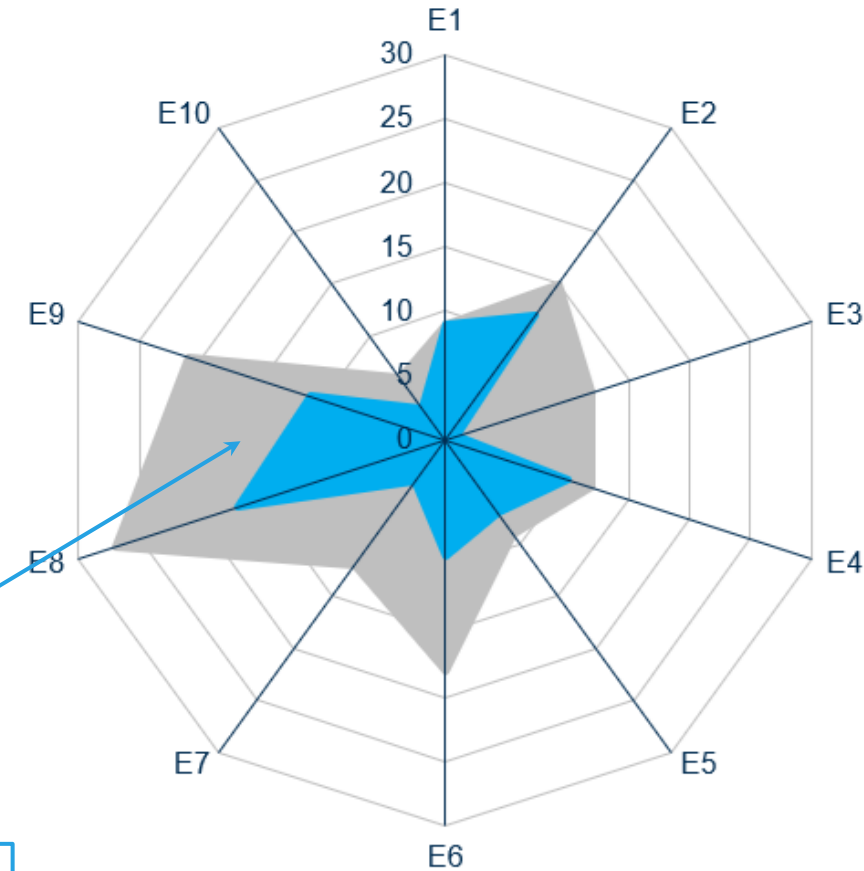
Save PDF
Report



Export
Input Data

Actual score

(reflected in the blue area in the web diagram)

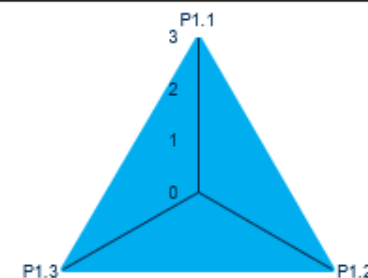


How is this city doing?

Analyzing Results – by essentials

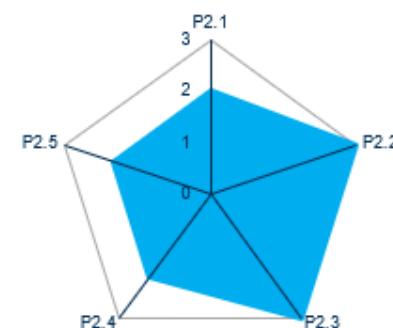
Essential 01: Organize for Resilience

P1.1	Does the City master plan (or relevant strategy/plan) adopt the Sendai Framework?	3
P1.2	Is there a multi-agency/sectoral mechanism with appropriate authority and resources to address disaster risk reduction?	3
P1.3	Is resilience properly integrated with other key city functions / portfolios?	3



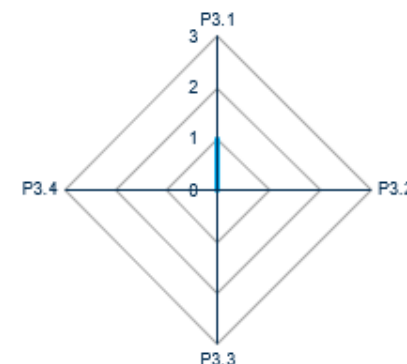
Essential 02: Identify, Understand and Use Current and Future Risk Scenarios

P2.1	Does the city have knowledge of the key hazards that the city faces, and their likelihood of occurrence?	2
P2.2	Is there a shared understanding of risks between the city and various utility providers and other regional and national agencies that have a role in managing infrastructure such as power, water, roads and trains, of the points of stress on the system and city scale risks?	3
P2.3	Are their agreed scenarios setting out city-wide exposure and vulnerability from each hazard, or groups of hazards (see above)?	3
P2.4	Is there a collective understanding of potentially cascading failures between different city and infrastructure systems, under different scenarios?	2
P2.5	Do clear hazard maps and data on risk exist? Are these regularly updated?	2



Essential 03: Strengthen Financial Capacity for Resilience

P3.1	The city / lead agencies understand all sources of funding, and the "resilience dividends", are well connected, understand all available routes to attract external funding and are actively pursuing funds for major resilience investments.	1
P3.2	Does the city have in place a specific 'ring fenced' (protected) budget, the necessary resources and contingency fund arrangements for local disaster risk reduction (mitigation, prevention, response and recovery)?	0
P3.3	What level of insurance cover exists in the city, across all sectors – business and community?	0
P3.4	What incentives exist for different sectors and segments of business and society to support resilience building?	0



Example: Template for DRR Action Plan

Action Plan for Calendar Year _____ – _____	
City/Local Government Name	
Country	
Focal Point Details	Name: Contact Info:
Title of existing local disaster risk reduction strategy	
Timeframe of existing strategy or plan	

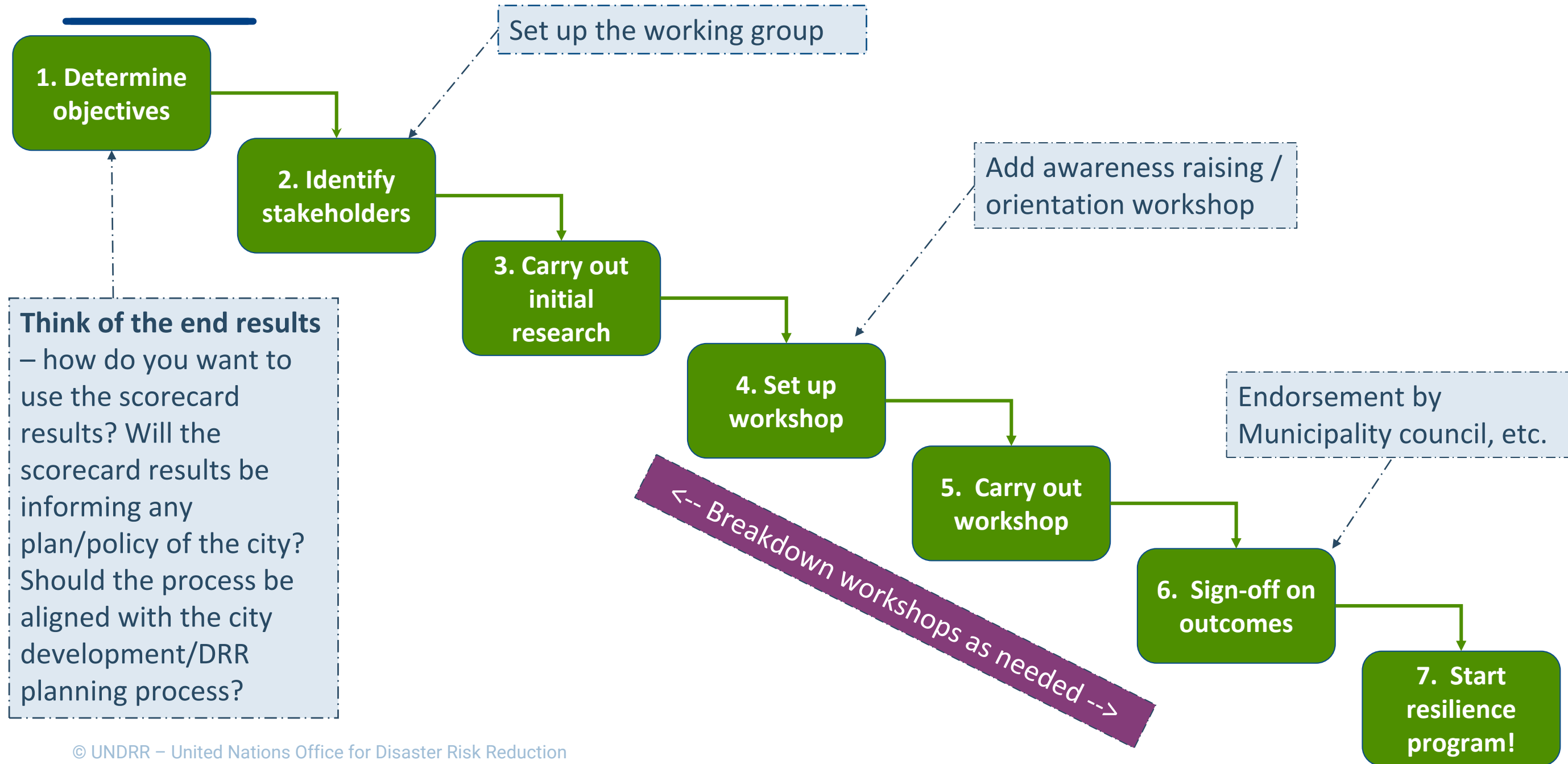
Strategic Area/Goal 1: <i>e.g. Strengthen disaster risk governance</i>						
#	OBJECTIVES	PLANNED ACTION(s)	INDICATORS (Specific, measurable, attainable, realistic)	TIMEFRAME (Timebound)	RESPONSIBILITY (+Support) AGENCIES (Assignable)	Budget

Scorecard wrap-up

Very Important note:

- Scorecard is **NOT for comparing cities**. It's not a standardization/benchmarking.
- **It helps to capture what cities have done well** – recognizing progresses and at the same time point out additional areas for further improvement.
- **Resilience does not have an ending** as risk changes with the changing context of cities. A resilient city is a city that demonstrate **continuous learning and improvement**.
- **Scorecard can be applied** to groups of cities or even customized to use with companies or even at the national level

Application process...



ideas for application

- Running preliminary assessment to create entry points
- Running detailed assessment to deep dive into any topic of interests
- Using specific essentials to engage with specific sector
- Using the Scorecard addendum to engage cities with specific interests
- Etc.

It's also fun to use with students in a training course!

Public Health System Resilience Addendum



"The Public Health Addendum to the Disaster Resilience Scorecard for Cities " strengthens and integrates coverage of the many aspects of public health that are relevant to disaster planning, mitigation, and response. These include, but are not limited to: sanitation, disease prevention, nutrition, care for those who are already sick or disabled as a disaster happens, those who are injured or become sick as a result of the disaster, mental health issues, health logistics, and so on.

It is designed to be used in parallel with the original Scorecard, perhaps by a separate sub-team of the main city resilience team. It will require inputs from public health professionals in the relevant disciplines to complete it".

It contains 23 indicators with 0-5 scoring options.

<https://mcr2030.undrr.org/public-health-system-resilience-scorecard>

Food System Resilience Addendum



"Disaster Resilience Scorecard for Cities: Food System Resilience – Addendum" is structured in sections around the same “Ten Essentials for Making Cities Resilient” as the Scorecard. The Ten Essentials provide a holistic coverage of the many issues that affect resilience in the “system-of-systems”, which make up a system. This includes food production, supplies, transport and services.

It contains 29 indicators with a score of 0-5, where 5 is best practice.

It can be used in a 1-day city multi-stakeholder workshop

<https://mcr2030.undrr.org/food-system-resilience-scorecard>

Cultural Heritage Addendum



This [Cultural Heritage Addendum](#) to the Disaster Resilience Scorecard for Cities aims to support the development of culture-based and people-centered disaster risk reduction and resilience strategies integrated with cultural heritage and development policies.

It has 44 questions scored from 0 to 3 that add to those included in the [original Scorecard](#). Therefore they should be filled simultaneously.

<https://mcr2030.undrr.org/cultural-heritage-scorecard>

Annex for Inclusion of Persons with Disability



Coming soon in
December 2022

This Annex includes specific criteria on considerations for the inclusion of persons with disabilities for each of the **Ten Essentials for Making Cities Resilient** that were designed to support the implementation of the Sendai Framework for Disaster Risk Reduction at the local level. Together with two crosscutting principles, meaningful participation and accessibility, the present Annex seeks to broaden the scope of the Scorecard process and support the formulation of local strategies and plans for enhancing more resilient and inclusive cities.

There are a total of 19 questions/indicators, each with a score of 0-3, where 3 is the maximum possible score.

It is recommended to for use in a half to full day multi-stakeholder workshop.

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making-cities-resilient-2030



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Key Resources

- **Disaster Risk Reduction Terminology:** <http://www.preventionweb.net/english/professional/terminology/>
- **Sendai Framework for Disaster Risk Reduction 2015-2030, UN:** <http://www.preventionweb.net/drr-framework/sendai-framework>
- **Making Cities Resilient 2030 Website:** <https://mcr2030.undrr.org>
- **A Handbook For Local Government Leaders [2017 Edition]:** <https://www.preventionweb.net/publication/how-make-cities-more-resilient-handbook-local-government-leaders-0>
- **10 Essentials for Making Cities Resilient:** <https://mcr2030.undrr.org/ten-essentials-making-cities-resilient>
- **Health Emergency and Disaster Risk Management Framework, WHO :** <https://www.who.int/hac/techguidance/preparedness/health-emergency-and-disaster-risk-management-framework-eng.pdf?ua=1>
- **Disaster Resilience Scorecard for Cities:**
<https://mcr2030.undrr.org/disaster-resilience-scorecard-cities>
- **Disaster Resilience Scorecard for Cities - Public Health System Resilience Addendum:**
<https://mcr2030.undrr.org/public-health-system-resilience-scorecard>
- **Reflections and experience sharing from cities on the use of Scorecard:**
<https://www.unisdr.org/campaign/resilientcities/home/article/reflections-and-experience-sharing-from-cities>

Thank You

UNDRR

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UN Office for Disaster Risk Reduction

